



09-07-01

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SSEC/DL

Practitioner's Docket No. 2001-0445

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Crutchfield, David Allen; Rademacher, Timothy John; and Rasche, Galen Arthur  
Application No.: 09/896,975 Group No.: 2816  
Filed: June 29, 2001 Examiner: Haider, Tanbir  
For: Method and Apparatus for Effecting Synchronous Pulse Generation for Use in Variable Speed  
Serial Communications

**Box Missing Part**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**COMPLETION OF FILING REQUIREMENTS**  
**-- NONPROVISIONAL APPLICATION**

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 21, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

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**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Express Mail No. EK782422072US

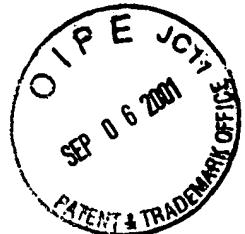
Date: 9/6/01

**FACSIMILE**

transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_\_.

Amy LeMay  
Signature

Amy LeMay  
(type or print name of person certifying)



### COMPLETION FEES

III.

#### 1. Surcharge Fees

Late payment of filing fee  
and/or late filing of original  
declaration or oath  
(37 C.F.R. Section 1.16(e)) \$130.00

Total Completion Fees \$130.00

### EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

### TOTAL FEE DUE

V. The total fee due is:

Completion fees	\$130.00
Extension fee (if any)	\$0.00
Total Fee Due	\$130.00

### PAYMENT OF FEES

VI. Charge Account No. 12-1213 in the amount of 130.00.

A duplicate of this request is attached.

Please charge Account No. 12-1213 for any fees that may be due by this paper.

Jacqueline M. Daspit  
Lexmark International, Inc.  
Intellectual Property Law Dept.  
740 West New Circle Road  
Lexington, KY 40550  
US



PTO/SB/17 (12/99)  
 Approved for use through 09/30/2000. OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement,  
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
 See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT (\$)** **130.00**

## Complete If Known

Application Number	09/896,975
Filing Date	June 29, 2001
First Named Inventor	David Allen Crutchfield
Examiner Name	Haider, Tanbir
Group / Art Unit	2816
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METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																																															
<b>1.</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit Account Number <b>12-1213</b>				<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>Surcharge - late filing fee or oath</td><td><b>130.00</b></td></tr> <tr><td>127</td><td>50</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>Non-English specification</td><td>0.00</td></tr> <tr><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td>0.00</td></tr> <tr><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr> <tr><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>0.00</td></tr> <tr><td>115</td><td>110</td><td>Extension for reply within first month</td><td>0.00</td></tr> <tr><td>116</td><td>380</td><td>Extension for reply within second month</td><td>0.00</td></tr> <tr><td>117</td><td>870</td><td>Extension for reply within third month</td><td>0.00</td></tr> <tr><td>118</td><td>1,360</td><td>Extension for reply within fourth month</td><td>0.00</td></tr> <tr><td>128</td><td>1,850</td><td>Extension for reply within fifth month</td><td>0.00</td></tr> <tr><td>119</td><td>300</td><td>Notice of Appeal</td><td>0.00</td></tr> <tr><td>120</td><td>300</td><td>Filing a brief in support of an appeal</td><td>0.00</td></tr> <tr><td>121</td><td>260</td><td>Request for oral hearing</td><td>0.00</td></tr> <tr><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>0.00</td></tr> <tr><td>140</td><td>110</td><td>Petition to revive - unavoidable</td><td>0.00</td></tr> <tr><td>141</td><td>1,210</td><td>Petition to revive - unintentional</td><td>0.00</td></tr> <tr><td>142</td><td>1,210</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>430</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>580</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>0.00</td></tr> <tr><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>0.00</td></tr> <tr><td>146</td><td>690</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr> <tr><td>149</td><td>690</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4"> <b>SUBTOTAL (1) (\$)</b> <b>130.00</b> </td> <td colspan="4"> <b>SUBTOTAL (3) (\$)</b> <b>130.00</b> </td> </tr> <tr> <td colspan="4"> <small>*or number previously paid, if greater; For Reissues, see below</small> </td> <td colspan="4"> <small>Reduced by Basic Filing Fee Paid</small> </td> </tr> <tr> <td colspan="4"> <b>2. 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SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Jacqueline M. Daspit	Registration No. (Attorney/Agent)	36,779	Telephone 859-232-7843
Signature	<i>Jacqueline M. Daspit</i>	Date	6 Sept. 2001	

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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JULY 1  
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Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/896,975	06/29/2001	David Allen Crutchfield	2001-0445.00

CONFIRMATION NO. 9938  
FORMALITIES LETTER



\*OC00000006452144\*

Jacqueline M. Daspit,  
Lexmark International, Inc.  
Intellectual Property Law Dept.  
740 West New Circle Road, Bldg. 082  
Lexington, KY 40550

Date Mailed: 08/21/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/11/2001 BNGUYEN1 00000127 121213 09896975

01 FC:105 130.00 CH